

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**


Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**


 Europäisches Patentamt  
 European Patent Office  
 Office européen des brevets

Publication number:

0 211 769  
A2

EUROPEAN PATENT APPLICATION

Application number: 86401770.2

Int. Cl.<sup>4</sup>: A 61 K 37/02  
A 61 K 35/14

Date of filing: 07.08.86

Priority: 08.08.85 US 763657

Date of publication of application:  
25.02.87 Bulletin 87/9

Designated Contracting States:  
AT BE CH DE FR GB IT LI LU NL SE

Applicant: THE UNITED STATES OF AMERICA as  
represented by the Secretary U.S. Department of  
Commerce  
National Technical Information Service Office of  
Government Inventions and Patents 5285 Port Royal  
Road  
Springfield, Virginia 22161(US)

Inventor: Boxenbort, Steven A.  
9015 Honebee Lane  
Bethesda MD 20817(US)

Representative: Mongrédien, André  
c/o SOCIÉTÉ DE PROTECTION DES INVENTIONS 25, rue  
de Ponthieu  
F-75008 Paris(FR)

2303

Adoptive immunotherapy as a treatment modality in humans.

The present invention discloses a new approach to the therapy of cancer in humans based on the administration of lymphokine activated killer (LAK) cells and interleukin-2 (IL-2). Twelve patients with metastatic cancer who had failed standard available therapy were treated. LAK cells were generated from peripheral blood mononuclear cells obtained at multiple leukaphereses and incubated in the recombinant-derived lymphokine, IL-2. Following three to four days of incubation in IL-2, the resulting LAK cells were capable of lysing fresh tumor cells but not normal cells. These LAK cells were reinfused into the autologous patient, along with the intravenous administration of recombinant IL-2 every 8 hours. Patients received up to 90 doses of IL-2 and from 2.8 to  $12.6 \times 10^{10}$  activated cells from up to 14 sequential leukaphereses. Six patients showed objective regression of established cancer.

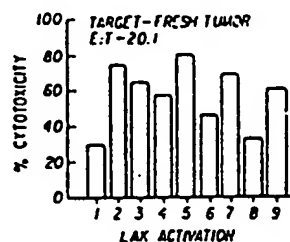


FIG. 1A

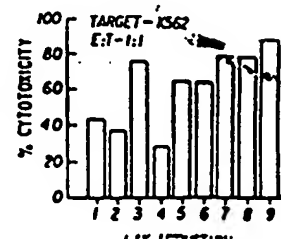


FIG. 1B

0 211 769 A2

87051876

0211769

ADOPTIVE IMMUNOTHERAPY AS A TREATMENT  
MODALITY IN HUMANS

Background of the Invention

Technical Field

The present invention is related to adoptive immunotherapy as a treatment modality of certain disease types in humans. More particularly, the present invention is related to a method of treating cancers and other immune-dysfunction diseases or conditions in humans using lymphokine activated killer cells in conjunction with the administration of interleukin-2.

State of the Art

Attempts have been made during the past two decades to develop immunotherapies for the treatment of cancer based on stimulating the host immune response to the tumor. These approaches were based on attempts to immunize against specific tumor cells or with nonspecific stimulants in the hope that general immune stimulation would concomitantly increase the host anti-tumor response. Some experimental evidence indicated that this approach might be feasible in the therapy of established tumors. However, the inability to stimulate sufficiently strong responses to putative tumor antigens and the general immunoincompetence of the tumor bearing host, were factors that argued against the success of this approach. In fact initial clinical attempts were unsuccessful and were largely

2304

870518762

abandoned.

An alternative therapeutic approach to the immunologic treatment of cancer is that of the adoptive transfer of immune cells. Adoptive immunotherapy is defined as the transfer to the tumor-bearing host of active immunologic reagents, such as cells with antitumor reactivity that can mediate, either directly or indirectly, antitumor effects. Adoptive immunotherapy represents an attractive approach to the therapy of cancer and other conditions related to immune-dysfunction. It should be noted that because active immunologic reagents are being transferred to the host, complete host immunocompetence is not required. Thus, the immunosuppression generally associated with the tumor-bearing state does not represent a major problem to this therapeutic alternative. Since host immunocompetence is not required, and in fact may be beneficial to the effects of the adoptive transfer of immune cells, adoptive immunotherapy can be easily combined with other therapies such as chemotherapy and radiation therapy. Since the transferred reagents are immunologically specific, this treatment modality predicts a high degree of specificity and consequently a low morbidity. Further, in contrast to most other therapies, no immunosuppression is likely to result from this treatment.

Virtually all prior attempts to perform adoptive immunotherapy have utilized animal models. The feasibility and efficacy of adoptive immunotherapy as a treatment modality for the correction or control of diseases, particularly cancers and immune-dysfunction diseases in humans, has not heretofore been

2305

87051876

demonstrated. A review of previous attempts to perform adoptive immunotherapy of cancer in animals and humans can be found in Rosenberg et al., 1977 Adv. Cancer. Res. 25:323-388.

### Summary of the Invention

It is, therefore, an object of the present invention to provide a method of treating cancer and immune dysfunctions in humans by adoptive immunotherapy.

It is a further object of the present invention to provide human peripheral blood lymphocytes activated with a lymphokine, said activated lymphocytes when administered to a human being, effectively capable of destroying tumor cells.

Other objects and advantages of the present invention will become apparent as the detailed description of the present invention proceeds.

### Brief Description of Drawings

These and other objects, features and many of the attendant advantages of the invention will be better understood upon a reading of the following detailed description when considered in connection with the accompanying drawings wherein:

Fig. 1 shows LAK activity of transferred cells. Peripheral blood mononuclear cells from each leukapheresis on patient No. 2 (listed in Table 2) were activated with IL-2 to generate LAK cells and aliquots were cryopreserved. At the completion of the treatment

protocol, all samples were thawed and tested simultaneously for the ability to lyse both fresh NK-resistant tumor cells (upper panel) and the NK-sensitive cell line (lower panel) in a 4 hour assay.

Fig. 2 shows serum IL-2 levels following intravenous administration of either 30,000 units/kg or 100,000 units/kg (patient No. 11). A rapid fall in the serum level of IL-2 follows intravenous bolus administration, consistent with a two component half-life of recombinant IL-2 in humans. The first component has a half-life of 6 to 7 minutes and the second, approximately 70 minutes;

Fig. 3 shows the exact treatment schedule for each of the twelve patients receiving therapy with LAK cells and IL-2. The day of leukapheresis is signified by "L". The days of IL-2 administration are indicated by open boxes. The number inside the box indicates the number of units ( $\times 10^{-3}$ )/kg administered intravenously every 8 hours. The numbers of LAK cells infused ( $\times 10^{-10}$ ) are also shown. The protocol varied in different patients depending on patient tolerance to therapy and an attempt to administer higher doses of IL-2 as the protocol proceeded;

Fig. 4 shows biopsies of subcutaneous metastatic melanoma nodules from patient No. 1. (left). Pretreatment biopsy of a subcutaneous metastasis shows an aggregate of pleomorphic tumor cells with a fibrous pseudocapsule containing a small number of lymphoid cells (right). Biopsy from the same patient taken four weeks after completion of therapy shows extensive coagulative necrosis of the melanoma and increased

numbers of chronic inflammatory cells within the fibrous capsule. No viable tumor was seen at this time. (Hematoxylin and eosin; 220);

Fig. 5 shows time course of the regression of subcutaneous melanoma nodules in patient No. 1. Significant regression of tumor was seen following the completion of LAK cell administration and IL-2. By four weeks after the end of treatment, melanoma nodules were decreasing in size and had disappeared completely by three months after therapy;

Fig. 6 shows sequential biopsies of subcutaneous deposits of metastatic melanoma of patient No. 9 (left). Pretreatment biopsy of a subcutaneous melanoma revealed sheets of melanoma cells with minimal necrosis and only rare lymphoid cells (right). The biopsy of a metastatic deposit resected two weeks after therapy with LAK cells and IL-2 exhibited a marked chronic inflammatory infiltrate. Individual necrotic tumor cells were seen within the residual viable tumor. (Hematoxylin and eosin; left) x 200, (right) x 500;

Fig. 7 shows the growth of a metastatic colon carcinoma to the lung before and after therapy with LAK cells and IL-2 in patient No. 2. This patient had five pulmonary metastatic lesions. The growth curve of one metastatic is illustrated here. This lesion continued to grow over a two year period and did not respond to treatment with Mitomycin C. Within one week after treatment with LAK cells and IL-2, the lesions began to regress;

Fig. 8 shows pre- and post-treatment x-rays of the lung lesion in the right lung (arrow in upper left)

2308

87051876

0211769

panell) illustrated in Figure 7. The lesion grew progressively prior to initiation of therapy on January 10, 1985. Eleven days later significant regression of the nodule was noted. Two satellite nodules seen just above and to the right of the larger lesion completely regressed (see Figure 9):

Fig. 9 shows linear tomograms of pulmonary metastases from a patient with colon cancer (patient No. 2). These x-rays illustrate the presence of two metastatic nodules in the right lung (arrow) prior to treatment with LAK cells and IL-2 (left). These two pulmonary metastases completely regressed (right). The configuration of the clips indicates that the same tomographic cut is shown in both x-rays. These metastases have not reappeared after five months of follow-up;

Fig. 10 shows computerized tomograms of the lungs of a patient with metastatic renal cell cancer prior to (upper panels) and after (lower panels) treatment with LAK cells and IL-2 (patient No. 11). Multiple pulmonary nodules were present in this patient (arrow points to one metastasis) all of which regressed significantly within two weeks after completion of therapy with LAK cells and IL-2;

Fig. 11 shows computerized tomograms of the lungs in a patient with an apical adenocarcinoma of the lung (patient No. 12). Sequential CAT scan sections are shown prior to (left) and following (right) treatment with LAK cells and IL-2. Significant regression of this primary apical lung lesion (arrow in left middle panel) was seen within two weeks after completion of therapy; and

2309

87051876

Fig. 12 shows fluctuation in circulating white blood cell counts following administration of LAK cells and IL-2 in patient No. 3. The day of leukapheresis is signified by "L". The dose of IL-2 is shown in the box in units ( $\times 10^{-3}$ )/kg and the day of LAK cell infusion is indicated by "LAK". The number of circulating lymphocytes decreased during IL-2 administration and then exhibited a rebound to above baseline levels within 48 hours after discontinuing IL-2 (top panel). The circulating eosinophils (middle panel) and the total white blood cell count (bottom panel) increased during the course of therapy.

#### Detailed Description of Invention

The above objects and advantages of the present invention are achieved by a composition comprising lymphokine activated human peripheral blood lymphocytes when administered in a suitable pharmaceutically acceptable (sterile and non-toxic) carrier to humans suffering from immune-dysfunction condition or cancer. A preferred lymphokine suitable for activation of said lymphocytes is interleukin-2 (IL-2). IL-2 can be obtained from any suitable source including recombinant IL-2. Such lymphokine activated cells possessing antitumor activity are herein defined as "lymphokine activated killer (LAK) cells."

Just as LAK cells, so also phytohemagglutinin activated killer cells (PAK cells) can be similarly used. Minimal side effects were observed with LAK or PAK cells.

A major obstacle to the development of successful adoptive immunotherapy has been the availability of

2310  
87051876

appropriate cells for use in adoptive transfer. Preferably, these cells should be available in large numbers; most animal models predict that approximately  $10^8$  immune cells will be required to treat clinically evident human malignancies. These cells should be immunologically specific for tumor and should be well tolerated when adoptively transferred. The cells should preferably be autologous although this may not be an absolute requirement. Because immunologically active cells tend to be larger than normal resting cells, the "traffic" of these cells is important and administered cells should be able to traffic to sites of tumor in vivo. Further, immune lymphoid cells are capable of proliferating and an ideal cell for adoptive transfer should be capable of expanding in number at the tumor site either by direct antigenic stimulation by tumor or by the presence of additional growth factors. The ability to amplify the effect of the transferred cells can be a major asset in this approach.

Peripheral blood mononuclear cells are obtained at multiple leukaphereses following standard procedure well known in the art and incubated with IL-2. Recombinant IL-2 was preferable because of its easy availability. Following several days of ex vivo incubation in IL-2, usually three to four days, the resulting cells are capable of lysing fresh tumor cells without affecting normal cells. These activated cells are reinfused, preferably by systemic administration, into the autologous patient followed by administration of suitable dosage of recombinant IL-2 at frequent intervals, usually about every 8 hours. Patients received up to 90 doses of IL-2 and from about  $2.8 \times 10^{10}$  to about  $12.6 \times 10^{10}$  activated cells (LAK or PAK)

2311

87051876

0211769

0211769

obtained from up to 14 sequential leukaphereses. Depending on the condition of the patient, of course, more or less IL-2 and more or less LAK cells could be administered. The number of lymphocytes may range from  $10^6$  to  $10^{12}$  cells and the amount of IL-2 may range from  $10^3$  to  $10^6$  units per kilogram body weight administered multiple times daily.

The activated cells in accordance with the present invention can be employed for the treatment of cancers, viral and other infective diseases, autoimmune diseases, for the correction of immune-deficiency diseases and the like.

It has been found that precursors of LAK cells belong to the subpopulation of "null" lymphocytes that bear neither T nor B cell surface markers. In the human these precursor cells are widely found in peripheral blood, lymph nodes, bone marrow and the thoracic duct.

Although any similar or equivalent methods and materials can be employed in the practice and/or tests of the present invention, preferred embodiments are now described. All publications mentioned hereunder are incorporated herein by reference. Unless defined otherwise, various terms used herein have the same meaning as is well understood in the art to which the invention belongs.

#### Patient Population

Twelve patients with metastatic cancer who had failed standard therapy were treated in this study (Table 1). Five patients had malignant melanoma, three

2312

87051876

had colorectal cancer, two had sarcomas, one had renal cell cancer and one had an adenocarcinoma of the lung. All patients had clinically evaluable disease either by physical examination or on standard radiographic studies. All patients had undergone actual or attempted surgical excision of their primary disease and had then developed metastases and failed standard therapy, if available. The clinical protocol used to treat these patients was approved by the Clinical Research Committee of the National Cancer Institute as well as by the Food and Drug Administration. Signed informed consent was obtained from all patients prior to entry into the trial.

Prior to entering the trial, all patients underwent complete medical evaluation with documentation and measurement of all sites of metastatic disease. Evaluations of tumor response were conducted at the end of therapy and at varying intervals following therapy, generally at two weeks, six weeks, and then every three months thereafter.

#### Leukapheresis

To obtain large numbers of lymphocytes, patients underwent repeated lymphocytaphereses using a continuous flow cell separator (IBM-2997, Cobe Labs, Lakewood, CO). Attempts were made to collect about  $5 \times 10^9$  to  $5 \times 10^{10}$  mononuclear cells per procedure. At a flow rate of about 60-70 ml/min, 10 to 12 liters of whole blood could be processed in approximately 4 hours to achieve this cell yield. Standard acid citrate dextrose (ACD, NIH formula A) was used as the anticoagulant. Vascular access was accomplished by double antecubital venapuncture when possible, although

Table 1

## Patients Treated with Lymphokine Activated Killer Cells and Recombinant IL-2

Patient	Age	Sex	Diagnosis	Evaluable Disease	Prior Treatment
1	33	F	Melanoma	Multiple subcutaneous nodules	Wide local excision, lymph node dissection; recurred and treated with monoclonal antibody, no response; treated with Interferon, no response
2	41	M	Rectal cancer	Pulmonary metastases	Abdominoperineal resection, radiation therapy. 5-FU; recurred in liver, resected; recurred in lung, resected; recurred in lung again; treated with mitomycin C, no response
3	33	M	Melanoma	Pulmonary, hepatic, bone, lymph node, gallbladder metastases	Wide local excision, lymph node dissection; recurrence treated with monoclonal antibody, no response
4	24	M	Osteosarcoma	Pulmonary metastases	Amputation of lower extremity; adjuvant chemotherapy with doxorubicin; recurred in lung; thoracotomy with biopsy of pulmonary metastases
5	42	F	Melanoma	Pulmonary and subcutaneous metastases	Wide local excision; recurred in lung, resected; recurred in lymph nodes, resected; then recurred in lung again
6	36	F	Synovial cell sarcoma	Pulmonary metastases	Wide local excision, radiation therapy (6600 rads); recurred in lung, resected; recurred in lung two subsequent times, resected; then recurred in lung again

87051876

Table 1 (cont'd)

2315	7	23	F	Melanoma	Pulmonary, subcutaneous, wide local excision, lymph node dissection; recurrence treated with monoclonal antibody, no response
	8	59	M	Colon cancer	Sigmoid colectomy; suture line recurrence, re-resection; recurred in liver
	9	35	F	Melanoma	Wide local excision, lymph node dissection; recurred with subcutaneous metastases, excised; metastasis to femur, irradiated (6,000 rads); recurrence in soft tissues treated with monoclonal antibodies, no response
	10	54	F	Colon cancer	Sigmoid colectomy; recurred with multiple pulmonary nodules; treated with 5-FU and mitomycin C, no response
	11	54	F	Renal cell cancer	Radical nephrectomy; recurred with multiple pulmonary nodules
	12	40	F	Lung adenocarcinoma	Exploratory thoracotomy revealed fixed primary tumor in apex of lung and multiple small pulmonary metastases, biopsied only

87051876

0211769

single or double lumen  
ten milliliters of ACD-A  
sine, preservative free;  
Louis, MO) were added to  
of apheresis. The  
esis pack was 300-400 ml  
bag (Travenol,

separated using Ficoll-  
to three parts of  
(HBSS) without calcium  
one part of the  
using a plasma transfer  
milliliters of the diluted  
into 50 ml conical  
overlaid with 10 ml of  
(LSM; Litton Bionetics,  
were centrifuged at 900  
lymphocytes were  
HBSS and resuspended in  
medium consisted of RPMI  
biological Associates,  
10 units/ml of penicillin,  
alfate, 2mM of glutamine,  
and 2% heat-inactivated  
als, Inc., Lenexa, KA). One  
containing about  $10^5$  to  
5 liter roller bottles  
nant IL-2 was added at a  
1,000 units/ml. Roller  
°C and were continuously  
ion per minute for three to

001878

four days. The resulting LAK cells were centrifuged at about  $510 \times g$  for 15 minutes in 1 liter bottles, the pellets were pooled in 250 ml centrifuge tubes and washed twice more in HBSS without calcium, magnesium or phenol red, and the cells were resuspended in infusion medium consisting of 200 ml of 0.9% sodium chloride containing 5% normal human serum albumin (American Red Cross Blood Services, Washington, D.C.) and 75,000 units of recombinant IL-2. The final cell suspension was filtered through sterile Gytex (110 mesh; Lawshe Instrument Co., Rockville, MD), and then transferred to a Fenwal transfer pack (Fenwal, JR2024).

When the harvest process was begun, a 2 ml aliquot was taken from one of the roller bottles and a gram-stain performed to check for the presence of microorganisms. In addition, an aliquot of the final LAK infusion suspension was cultured for fungi and for aerobic bacteria. An aliquot of the final infused cell suspension was cryopreserved in liquid nitrogen for subsequent immunologic testing.

It may be noted that if necessary, the activated infusible LAK cells can be cryopreserved following standard procedure and these stored cells can be used after thawing at a later time to administer to patients.

#### Interleukin-2

The recombinant IL-2 used in this trial was obtained from the Cetus Corporation, Emeryville, CA. This IL-2 was produced in E. coli transfected with the gene for IL-2 isolated from the Jurkat cell line. The IL-2 was purified to homogeneity and migrated as a

2317

87051876

0211769

single band on SDS polyacrylamide gel electrophoresis. The biologic characteristics of this recombinant IL-2 have been extensively described (Rosenberg, et al., 1984 Science; 223:1412-1415). IL-2 was obtained as a lyophilized powder and was reconstituted with 1.2 ml of sterile water per vial. Each vial contained approximately 0.3 mg of IL-2 (specific activity, 3 to 5 x 10<sup>6</sup> units/mg). Less than 0.04 nanograms of endotoxin were present per vial as measured by the limulusamebocyte assay. Each vial also contained 5% mannitol and approximately 130 µg of sodium dodecyl sulfate per mg of IL-2. As mentioned before, IL-2 from non-recombinant sources can, of course, be also used.

#### Administration of LAK Cells and Recombinant IL-2

LAK cells were administered intravenously through a central venous catheter or into a large peripheral vein in all patients except for patient No. 8 (see Table 1) who received LAK cells by direct infusion into the hepatic artery via a percutaneous catheter. An initial infusion of approximately 10<sup>8</sup> cells was performed followed five minutes later by the remainder of the cells over approximately 20 minutes. No filters were used in the infusion line. The infusion bag was gently mixed every five minutes during the infusion.

Recombinant IL-2 was diluted in 50 ml of normal saline containing 5% human serum albumin and was infused intravenously over a 15 minute period every 8 hours.

#### Immunologic Studies

2318

87051876

The titer of IL-2 used in these studies was determined by measuring the ability of samples to maintain proliferation of an IL-2 dependent cell line using the procedure of Rosenberg et al. 1978, J. Immunol.; 121:1946-1950. The titers used in these experiments were those obtained by the Cetus Corporation and were confirmed. Assays performed on this material along with the international IL-2 standard supplied by the Biologic Response Modifier Program of the Frederick Cancer Research Center, NCI, showed that one unit as used herein corresponded to approximately 0.4 international units.

Aliquots of all infused cells were cryopreserved and at the end of each patient treatment these cells were thawed and tested for LAK activity using standard four hour chromium-release assays against fresh human tumor target cells as described by Lotze et al. 1981, Cancer Res.; 41:4420-4425. In addition, serum and peripheral white blood cells were cryopreserved at regular intervals for immunologic testing.

#### Administration of LAK Cells and Recombinant IL-2

LAK cells were routinely tested for lysis of fresh natural killer-resistant tumor target cell as well as the natural killer-sensitive K562 cell line. Characteristic results of the LAK assays performed on cells from patient No. 2, are shown in Figure 1. Maximum LAK cell lysis was seen at effector:target ratios of 20:1 or less, with maximum lysis sometimes seen at effector:target ratios of 5:1. The K562 cell line was consistently more sensitive to LAK lysis than were fresh tumor cell preparations. LAK cell generation was relatively constant throughout the

leukaphereses in each treatment cycle.

Patients received bolus injections of IL-2 every 8 hours at doses of either 10,000 units/kg, 30,000 units/kg, or 100,000 units/kg depending on the protocol used in each patient. The characteristic pattern of serum IL-2 levels following IL-2 infusion in patient No. 11 is shown in Figure 2. Serum IL-2 levels dropped precipitously following the completion of each IL-2 bolus infusion, consistent with the initial 6 to 7 minute half-life of recombinant IL-2 in humans as has been reported by Donohue, 1983, J. Immunol; 130:2203-2208; Chang et al., 1984 J. Biol. Res. Mod.; 3:561-572. Following the administration of 100,000 units/kg serum levels generally fell to 1 to 5 units/ml by the time of the next injection 8 hours later. Lower levels of serum IL-2 were seen when 30,000 units/kg were administered (Figure 2) and little, if any, IL-2 levels in serum could be measured after administration of 10,000 units/kg. Because murine tumor models suggested that sustained serum levels of IL-2 were necessary to obtain optimal therapeutic effects, increasing doses of recombinant IL-2 intravenously were utilized as the therapy progressed.

#### Results of Therapy

The exact treatment schedules used in 12 patients are presented in Figure 3. Except for patients No. 10, 11 and 12, all patients received at least two cycles of leukapheresis and several patients received three cycles. In all cases an attempt was made to begin the IL-2 infusions at the time of LAK cell administration and to continue IL-2 for at least several days following LAK cell administration, if tolerated by the

patient.

The doses of LAK cells and recombinant IL-2 in each patient and the results of treatment are presented in Table 2. Six of these 12 patients experienced measurable tumor regression including one complete tumor regression in a patient with metastatic melanoma.

Patient No. 1 underwent complete regression of all subcutaneous deposits of metastatic melanoma following therapy with LAK cells and IL-2. These lesions stabilized during treatment and, beginning approximately four weeks after completion of therapy, the tumor nodules began to slowly regress. A biopsy taken at 4 weeks after the end of therapy revealed coagulative necrosis of all tumor, chronic inflammation, and fibrosis (Figure 4). All of these lesions regressed completely and this patient has been free of disease for six months following termination of therapy. The time course of the regression of several of these skin lesions with respect to the infusion of LAK cells plus IL-2 is shown in Figure 5.

Sequential biopsies of the subcutaneous melanomas of another patient (No. 9), excised prior to therapy and at varying intervals after therapy, revealed an extensive infiltration of tumor with "activated" lymphoid cells following LAK cell and IL-2 administration (Figure 6). Whereas the original tumor exhibited minimal necrosis and contained few lymphocytes, by the end of therapy lymphoid infiltrates were prominent at the tumor margins and scattered among viable and necrotic tumor cells. In this patient, although several nodules exhibited a regression in size other nodules enlarged. This patient (No. 9) was thus

2321

87051876

Table 2  
Results of Treatment with Lymphokine Activated Killer Cells and Recombinant IL-2

Patient	Diagnosis	Number of IL-2 doses	Total units IL-2 ( $\times 10^{-3}$ )	Number of LAK infusions	Total Number cells infused ( $\times 10^{-6}$ )	Result
1	Melanoma	47	790	9	4.2	Complete regression of subcutaneous metastases
2	Rectal cancer	35	510	9	4.3	Partial regression of pulmonary metastases
3	Melanoma	40	900	13	12.6	No response
4	Osteosarcoma	90	1340	14	7.4	No response
5	Melanoma	70	700	13	11.6	No response
6	Synovial cell sarcoma	76	760	9	6.6	No response
7	Melanoma	68	680	10	5.9	Partial regression of pulmonary metastases
8	Colon cancer	42	280	10	6.4	Partial regression of hepatic metastases
9	Melanoma	65	1490	10	7.9	No response
10	Colon cancer	51	510	5	2.9	No response
11	Renal cell cancer	27	2280	5	9.9	Partial regression of pulmonary metastases
12	Lung adeno- carcinoma	12	1200	5	5.1	Partial regression of primary pulmonary tumor

87051876

considered to have had no clinical response.

Patient No. 2 had rectal cancer with five pulmonary metastases. Three of these metastases disappeared completely as documented by conventional chest x-ray and by linear tomography following therapy with LAK cells and IL-2 and the remaining two metastases, which were somewhat larger, decreased in size but did not disappear. The time course of tumor regression of one of these latter metastatic lesions is seen in Figure 7. The pre- and post-treatment x-rays of a lesion that partially regressed and of two of the lesions that completely regressed are shown in Figures 8 and 9, respectively. The three lesions that disappeared by the end of therapy have not reappeared for the five months following treatment. The two nodules that partially regressed began to grow again after approximately 6 weeks and the patient underwent a second course of therapy with a small diminution in the size of these nodules. The patient is receiving a third course of therapy. Of interest are the CEA levels in this patient, which were 159 at the initiation of therapy, 91 after the first course of treatment and 33 after the second course of therapy.

Patient 8 experienced a partial regression of hepatic metastases from colon cancer as documented by ultrasonography and patient 9 underwent a partial regression of pulmonary metastases from melanoma. These patients had bulky sites of metastatic disease and although a 50% decrease in the volume of lesions was seen, no lesions disappeared completely. Patient No. 11 exhibited a partial regression of pulmonary metastases from a renal cell cancer within two weeks after the completion of therapy (Fig. 10) and patient

12 exhibited a partial response to therapy of a large apical lung adenocarcinoma within two weeks after completion of therapy (Figure 11). These patients are carefully being followed and will be retreated if less than a complete remission is seen.

The major factors were involved in therapy modifications made as the protocol proceeded. These were: (1) There was rapid disappearance of LAK precursors from the peripheral blood during IL-2 administration and thus leukaphereses did not result in acceptable cell yields while IL-2 was being given. Attempts were made to harvest lymphocytes during IL-2 infusion from four pilot patients treated prior to the treatment of the 12 patients reported in this protocol. Minimal numbers of cells were obtained because of the absence of LAK precursors in the circulation during IL-2 administration and none of these four patients received more than  $2 \times 10^{10}$  total cells. For this reason leukaphereses were performed after IL-2 administration was discontinued. (2) There was a marked rebound in the number of lymphocytes as well as the number of LAK precursors in the circulation following the discontinuance of IL-2 administration. Therefore, as the protocol proceeded, IL-2 was administered for several days and then IL-2 administration was discontinued approximately 36 hours before beginning leukapheresis. An example of the fluctuation in lymphocyte counts as a function of IL-2 administration in patient No. 3 is shown in Figure 12. Thus by cycling the administration of IL-2 and leukapheresis, it was possible to markedly increase the number of LAK cells harvested. The toxicity of IL-2 limited the amount of IL-2 that could be given. Animal models indicated that the therapeutic effect was

Table 3

Toxicity of Therapy with LAK cells and IL-2

Patient	1	2	3	4	5	6	7	8	9	10	11	12	Total (of 12 patients)
Weight gain (>10%)	+	+	-	+	+	+	-	-	+	+	+	-	8
Fever (days T max >38°C)	6/22	6/25	8/27	3/45	2/36	3/35	3/36	0/28	15/35	1/34	0/26	5/18	10
Chills	+	+	+	-	+	+	+	+	+	+	-	+	10
Nausea and Vomiting	+	+	+	+	+	+	+	+	+	+	+	-	11
Diarrhea	+	+	+	+	+	-	-	-	+	+	+	-	8
Dyspnea	+	+	-	-	+	+	+	-	+	+	+	+	9
Erythema or rash	+	+	-	+	+	+	-	-	+	-	+	-	7
Pruritis	-	-	-	+	+	+	+	-	+	+	+	-	7
Nasal con- gestion	+	-	+	+	+	+	+	-	+	+	-	-	8
Glossitis	+	-	-	-	-	+	+	-	+	+	+	-	6
Malaise	+	+	+	+	+	+	+	+	+	+	+	+	12
Renal dys- function (creat. >2mg/dl)	-	+	-	+	-	-	-	-	-	-	+	-	3

0211769

050514030

4 11.03.99

0211769

Table 3 (cont'd)

Toxicity of Therapy with LAK Cells and IL-2											
N	1	2	3	4	5	6	7	8	9	10	Total (of 12 patients)
3											
6											
Patient	1	2	3	4	5	6	7	8	9	10	12
Hyperbilirubinemia (>2 mg/dl)	-	-	-	+	-	+	-	-	-	+	+
Eosinophilia (highest %)	8	43	32	85	82	84	55	77	46	20	4
RBC transfusions (units)	3	7	3	4	5	2	7	6	10	4	12
Thrombocytopenia (<50,000/mm <sup>3</sup> )	-	+	-	-	-	-	-	-	+	-	+

87051876

directly related to the number of LAK cells infused and to the amount of IL-2 administered. Because of the toxic side effects associated with IL-2 administration, vide infra, most patients treated in the early phase of this clinical protocol received 10,000 units/kg of IL-2 every 8 hours. Subsequent patients received 30,000 units/kg and patients No. 11 and 12 received 100,000 units/kg. In general, the larger IL-2 doses were not well tolerated for long periods of time, hence fewer treatment cycles were administered.

#### Toxicity of Treatment

The toxicity of therapy in these patients is presented in Table 3. In previous studies, it was demonstrated that the infusion of activated killer cells alone was accompanied by transient fever and chills and a transient decrease in pulmonary diffusion capacity (Rosenberg, 1984, J. Biol. Resp. Mod.; 3:501-511; Mazumder, et al. 1984, Cancer; 53:896-905). Patients in the current study often had chills immediately following cell infusions which were generally well controlled by the intravenous administration of either meperidine (25 to 50 mg) or morphine (4 to 6 mg).

In this protocol, the major side effect associated with IL-2 administration was weight gain due to fluid retention probably resulting from a generalized increase in capillary permeability. Eight of the 12 patients gained greater than 10% of their starting weight. This fluid retention was most profound in soft tissues resulting in marked peripheral edema but at early times appeared to spare the lungs. Lung water measurements on selected patients given IL-2 at doses

4 110399

0211769

25

of 30,000 and 100,000 units/kg were within normal limits. Late in the course of IL-2 administration, however, fluid retention often resulted in pleural effusions and ascites with radiographic appearance of interstitial edema in the lungs associated with dyspnea in 9 of the 12 patients. Two of these patients (patient No. 1 and 12) developed severe respiratory distress requiring incubation for 1 and 4 days, respectively. In addition, IL-2 infusions were associated with fever and malaise and seven of the 12 patients developed a generalized erythematous rash. The fever, chills and malaise could be eliminated by the use of the acetaminophen (650 mg every 6 hours) and indomethacin (25 mg every 6 hours) and many of the patients received these medications. Many patients also received hydroxyzine hydrochloride, an antihistaminic, for treatment of their rash. Sleep medication used in most patients was doxepin. Most patients were maintained on ranitidine (150 mg orally per day) for prophylaxis of gastrointestinal bleeding. Significant renal dysfunction resulting in low urine output was an uncommon side effect and only three of 12 patients developed serum creatinine levels greater than 2 mg/dl. Five of 12 patients developed transient hyperbilirubinemia of greater than 2 mg/dl. Eosinophilia was common and 11 of the 12 patients developed circulating eosinophils which were greater than 5% of the total white cell count and in several patients greater than 80% of the total circulating white cells were eosinophils at the height of IL-2 administration. IL-2 administration also appeared to be associated with hematopoietic suppression. All patients required blood transfusions during the course of therapy to correct anemia and four of 12 patients developed thrombocytopenia with less than 50,000

2328

87051876

platelets/mm<sup>3</sup>.

In all patients adverse effects disappeared promptly after ceasing IL-2 administration and all 12 patients were eventually discharged home for follow-up. Diuresis generally began within 24 hours after IL-2 was discontinued and could be hastened by the use of diuretics. Other side effects general / disappeared within four to five days after stopping the IL-2. One additional patient, not considered in this report, developed chest pains during the first cycle of cell infusions and therapy was discontinued prior to the completion of one cycle. Subsequent studies did not confirm a diagnosis of myocardial ischemia. This patient was discharged home and was not retreated.

The present study is the first report of the use of lymphokine activated killer (LAK) cells in conjunction with interleukin-2 (IL-2) in the therapy of patients with cancer. This clinical trial was preceded by the demonstration that the administration of syngeneic LAK cells and recombinant IL-2 was capable of mediating the regression of established pulmonary and hepatic metastases in a variety of transplantable murine tumors including immunogenic and non-immunogenic sarcomas, a melanotic and an amelanotic melanoma, a murine colon adenocarcinoma, and a murine bladder cancer in two different strains of mice (Mule, et al. 1984, Science; 225:1487-1489; Mule, et al. 1985, J. Immunol.; 135:646-652). In addition, 26 cancer patients were treated with activated killer cells alone (Rosenberg. 1984, J. Biol. Resp. Med.; 3:501-511; Mazumder, et al. 1984 Cancer; 53:896-905) and 39 cancer patients with IL-2 alone (Lotze et al. 1985 J. Immunol.; 134:157-166) prior to combining these

therapies in humans. Of the 12 patients treated in this protocol, 6 experienced objective remissions of their metastatic cancer. All patients had advanced metastatic cancer and had previously failed standard therapy, including surgery, chemotherapy and radiation therapy. In addition, some patients had also failed to respond to investigational therapy with monoclonal antibodies or interferon.

In vitro studies have demonstrated that LAK cells are capable of lysing a wide variety of tumor targets in the human but do not lyse normal cells. In a study of 41 consecutive single cell suspensions of human tumors, 36 were significantly lysed by LAK cells including adenocarcinomas of the stomach, ovary, pancreas and colon as well as a variety of sarcomas and melanomas (Rayner, et al. 1985 Cancer; 55:1327-1333). Though little is known about the antigen recognized by LAK cells, without being bound to any specific theory, it is postulated that it is ubiquitously distributed on transformed but not on fresh normal cells. The precursor of the LAK cell in both the mouse and the human appears to be a non-T, non-B "null" lymphocyte. In the mouse, the LAK precursor cells are Thy-1-, Ia- and in the human the precursor cells are Leu-1-, OKT-3-, Leu-7-, OKM-1-. These LAK cell precursors, therefore, are different from natural killer cells both in cell surface phenotype and in their lytic specificity (Grimm et al. 1982 J. Exp. Med.; 155:1823-1841). Natural killer cells lyse cultured target cells and have little, if any, effect on fresh tumor targets. The LAK effector cell, in distinction to the precursor cell, however, appears to be a T cell since in the mouse it bears the Thy-1 antigen. The LAK precursor cell thus appears to be a primitive cell in

2330

87051876

the T cell lineage which can differentiate into a T cell under the influence of IL-2. The physiologic function of these LAK cells in normal humans is unknown although these cell make good candidates for a role in natural immunosurveillance against transformed cells.

The murine models predicted that both LAK cells plus recombinant IL-2 would be necessary, to mediate antitumor effects (Mule, et al. 1984 Science; 225:1487-1489; Mule, et al. 1985. J. Immunol.; 135:646-652). Although extremely high doses of IL-2 can mediate antitumor effects in the mouse (Rosenberg et al. 1985. J. Exp. Med.; 161:1169-1188) it is not possible to achieve these doses of IL-2 in humans because of the toxicity associated with IL-2 administration. Thus, in the mouse, administration of LAK cells alone or low doses of recombinant IL-2 alone had no antitumor effects. This was corroborated in previous human studies in which twenty-six humans received LAK cells alone, and 39 humans received IL-2 alone (16 received the natural human IL-2 and 23 received recombinant IL-2). No antitumor effects were seen (Rosenberg. 1984. J. Biol. Resp. Med.; 3:501-511; Mazumder et al. 1984. Cancer; 53:896-905; Lotze et al. 1985. J. Immunol.; 134:157-166). Because the mouse models predicted that a continuous exposure to IL-2 was necessary in vivo, a dose scheme in humans was selected which included every 8 hours intravenous injections. Earlier studies with the continuous infusion of IL-2 showed that even at maximum tolerated doses barely detectable levels of IL-2 could be found in the circulation. When bolus doses were given every 8 hours, however, high serum levels were seen for several hours (Figure 2).

As described herein, significant antitumor effects  
2331

07051072

were seen in patients when both LAK cells and IL-2 were given concurrently. The six objective responses reported here occurred in four different histologic types of tumors including melanoma, colorectal cancer, renal cell cancer and lung adenocarcinoma. Because of the broad lytic specificity of LAK cells in vitro, it is clear that this treatment modality has applicability to a large number of histologic subtypes of cancer. Five of the six patients achieved objective partial remissions (at least 50% reduction in the volume of tumor) and one patient achieved a complete remission of disease and has been free of tumor for six months following treatment of a disseminated melanoma. In patient No. 2 who underwent complete regression of three of five pulmonary metastases, the three metastases that disappeared have also not returned whereas the two metastases that only partially regressed began to regrow after approximately one month. These results indicate that elimination of tumor deposits can result in long-term tumor control but that partial tumor elimination may be followed by prompt regrowth of tumor. In murine models extensive search was made for the presence of tumor cells that are resistant to LAK lysis and it could not be demonstrated that such cells exist. In mouse tumor models, metastases that survive LAK and IL-2 therapy are as susceptible to LAK cell lysis, both in vitro and in vivo, as was the original tumor.

Other approaches to improving this therapy have been suggested by the murine models. Minimal tumor burdens are more susceptible to curative LAK therapy than is bulk disease and the utilization of this therapy as an adjuvant treatment immediately following surgery is indicated. Because therapy with LAK cells

2332

87051876

and IL-2 is not dependent on host immunocompetence (Mule et al. 1985. J. Immunol.; 135:646-652) the present treatment modality may be ideal for combination with chemotherapy and radiation therapy. In addition, the murine experiments indicate that allogenic LAK cells are as therapeutically effective as syngeneic cells (Mule et al. 1985. J. Immunol; 135:646-652) and that direct organ infusion of LAK cells may be more effective than systemic administration of LAK cells. It should be further noted that the present invention is not limited to LAK cells only. Of course, any other suitable immune cells could just as well be employed. In addition, these LAK or other immune cells having similar properties could also be expanded in tissue culture and then utilized in accordance with the present invention. Furthermore, monoclonal antibodies could also be used to direct both the LAK cells and IL-2 to the tumor site. Such modifications as mentioned above are not restrictive, of course, and various other modifications will be readily apparent and suggested to one of ordinary skill in the art.

The administration of LAK cells in conjunction with IL-2 as described herein represents a new approach to the treatment of cancer with potential applicability to a wide variety of tumors or immune sensitive conditions. A major advantage of this approach is its broad antitumor specificity. The similarity of results obtained in humans compared to the murine models, reinforces the utility of the adoptive immunotherapy as an efficacious treatment modality for the control of cancer and other immune-related dysfunctions or diseases.

It is understood that the examples and embodiments  
2333

87051876

described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and the scope of the appended claims.

2334

87051876

11 11 99

0211769.

32

CLAIMS:

1. A composition comprising isolated, lymphokine-activated lymphocytes in a pharmaceutically acceptable carrier, said lymphocytes being reactive to tumor when administered with a lymphokine to humans suffering from said tumor.

2. The composition of Claim 1 wherein the number of said lymphocytes ranges from about  $10^5$  to  $10^7$  cells per ml.

3. The composition of Claim 1 wherein said lymphokine is interleukin-2.

4. A method of treating cancer in humans comprising administering to a human suffering from said cancer an effective amount of lymphokine and lymphokine-activated lymphocytes.

5. The method of Claim 4 wherein said lymphokine is interleukin-2.

6. The method of Claim 5 wherein said cancer is melanoma, osteosarcoma, lung adenocarcinoma, renal cell cancer, colon cancer, rectal cancer or sarcoma.

7. The method of Claim 6 wherein the amount of interleukin-2 ranges from about 1,000 to  $10^6$  units per kilogram body weight administered multiple times daily.

8. The method of Claim 6 wherein the amount of the lymphocytes ranges from about  $10^6$  to  $10^{12}$  cells.

2335

87051376

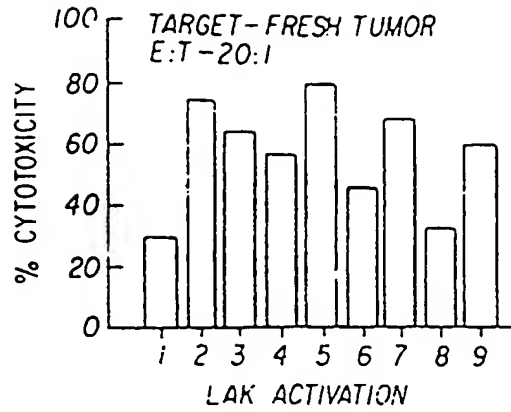
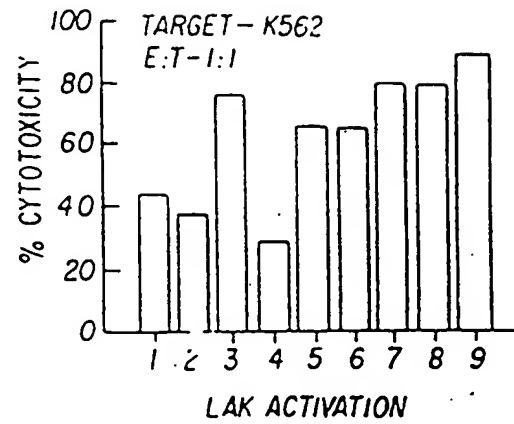


FIG. 1A

FIG. 1B



SERUM IL-2 TITERS FOLLOWING IV  
INJECTION OF IL-2

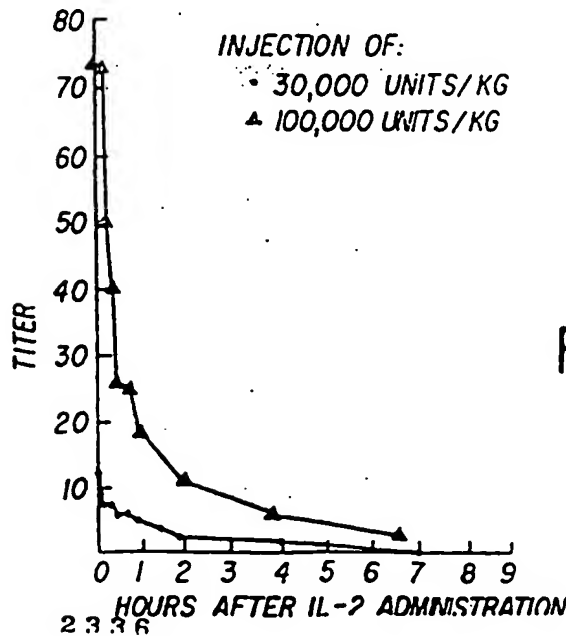


FIG. 2

07054076

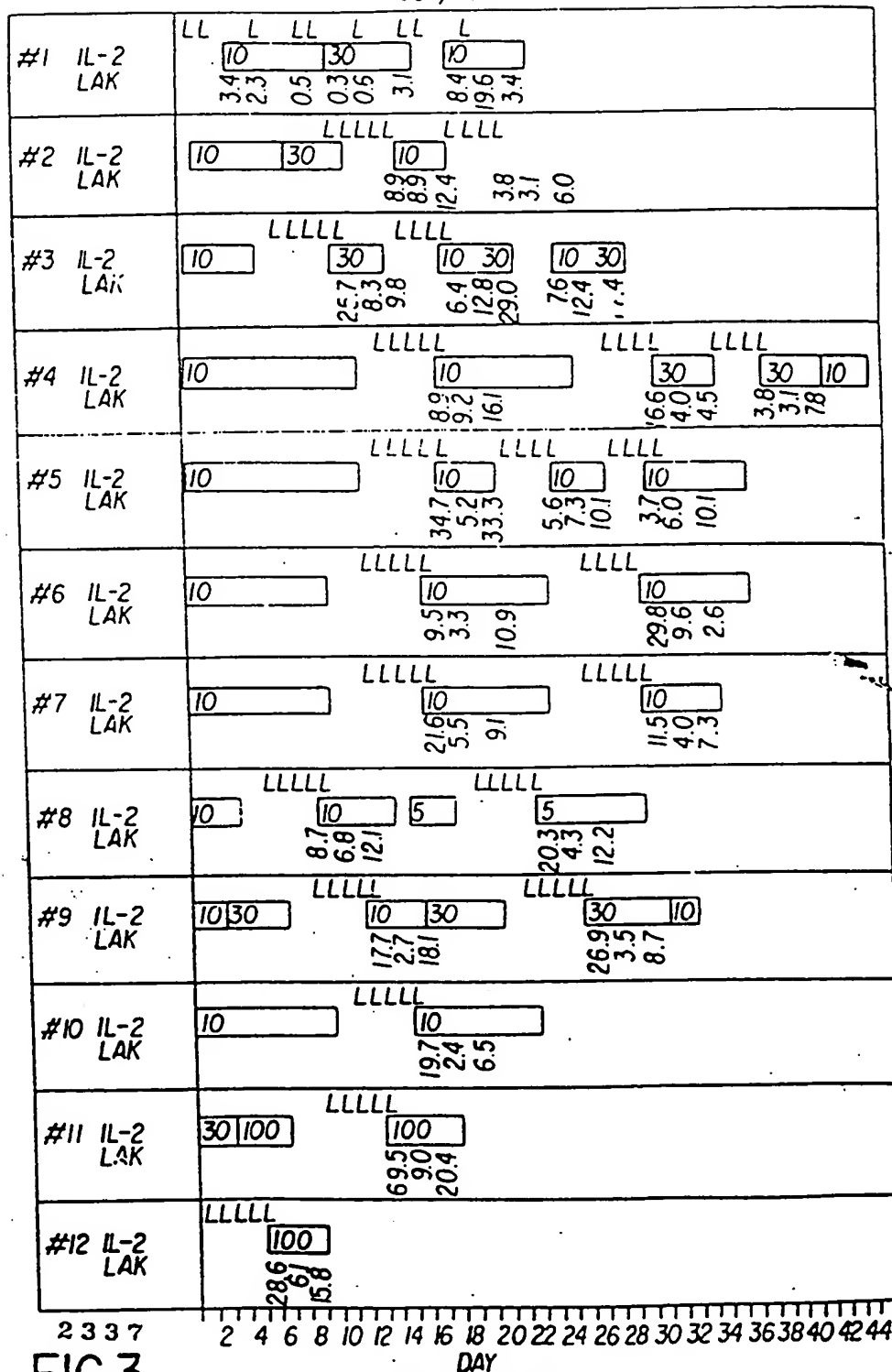


FIG. 4B

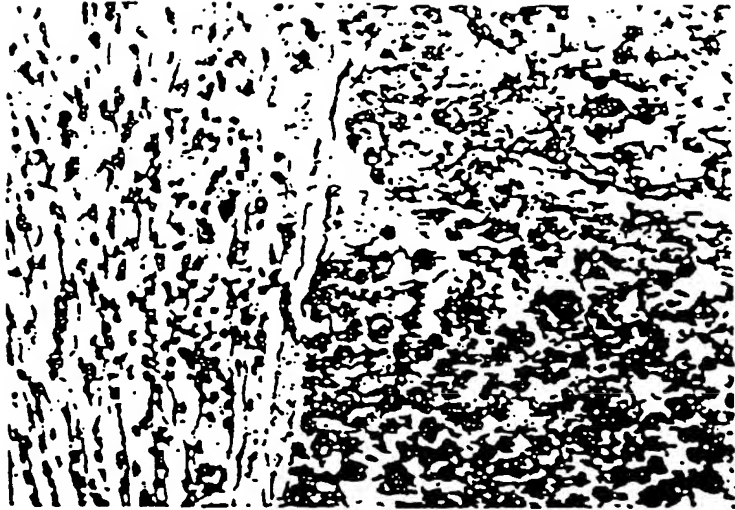


FIG. 4A



2338

4/11/89

0211769

4/11

RESPONSE OF MELANOMA NODULES TO  
LAK + IL-2 THERAPY

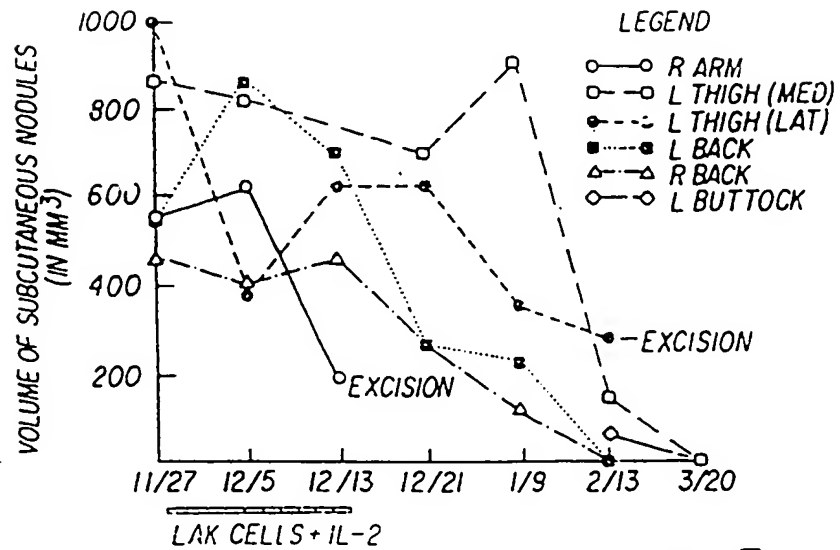


FIG. 5

RESPONSE TO LAK + IL-2 THERAPY (R LUNG MET)

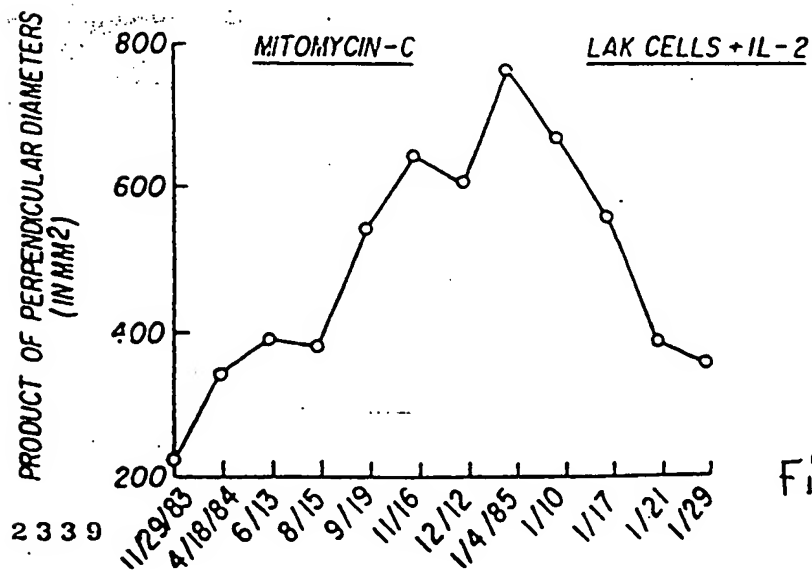


Fig 7

FIG. 6B

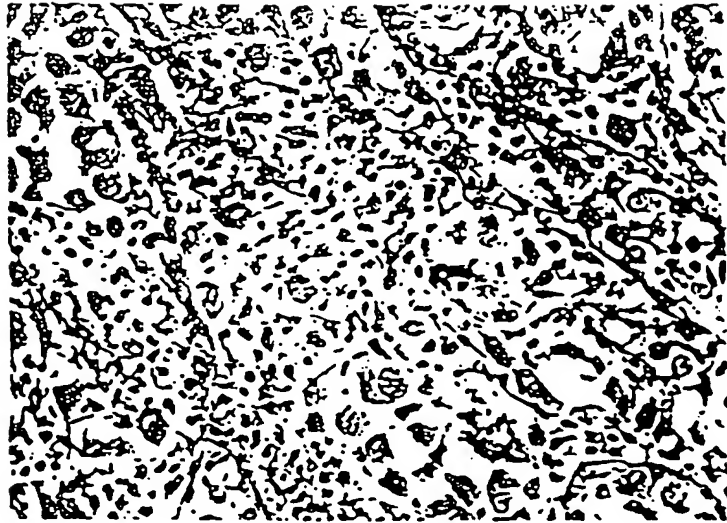
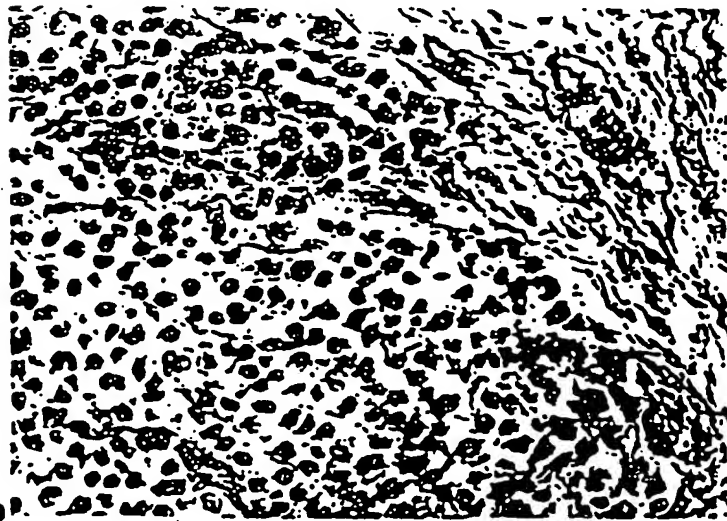


FIG. 6A



2340

0211789

0211789

6 / 11

FIG. 8B



11/16/84

FIG. 8A



8/15/84

234

0211769

7/11

FIG. 8D

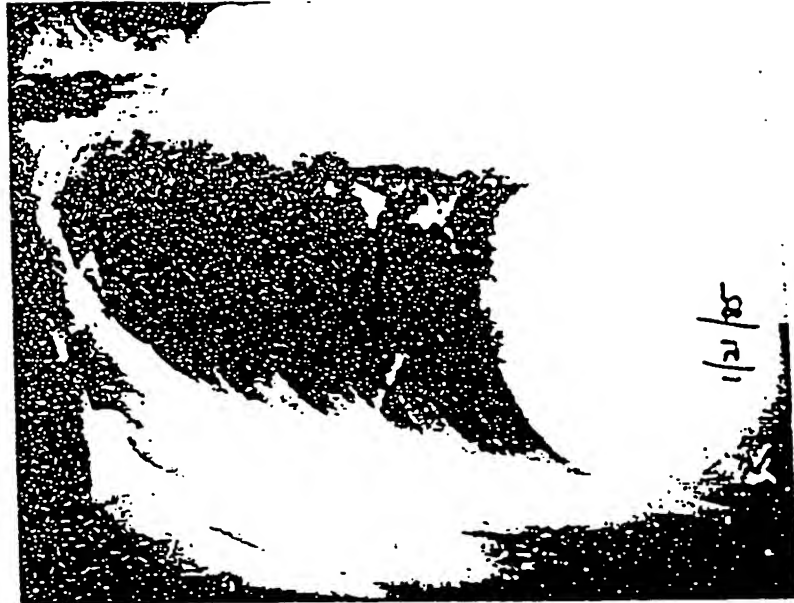


FIG. 8C



2342

09054070

0211769

8 / 11

FIG. 9B

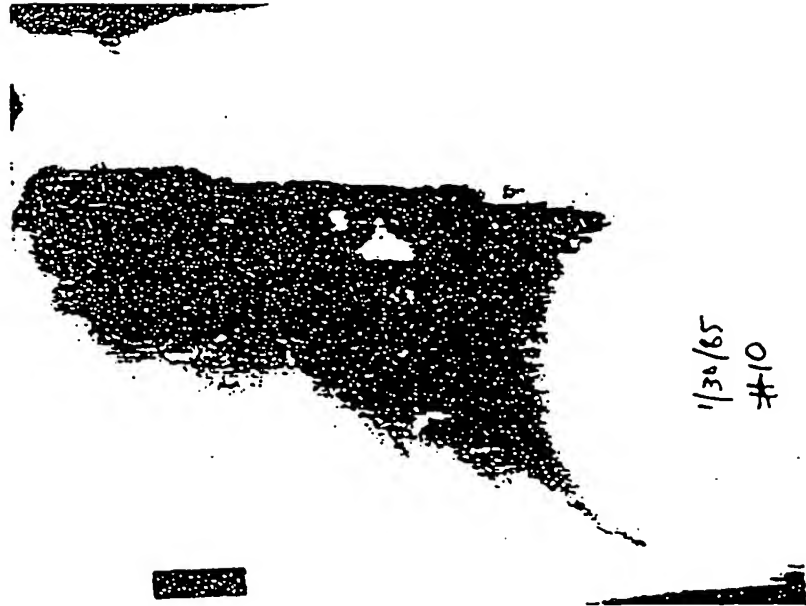


FIG. 9A

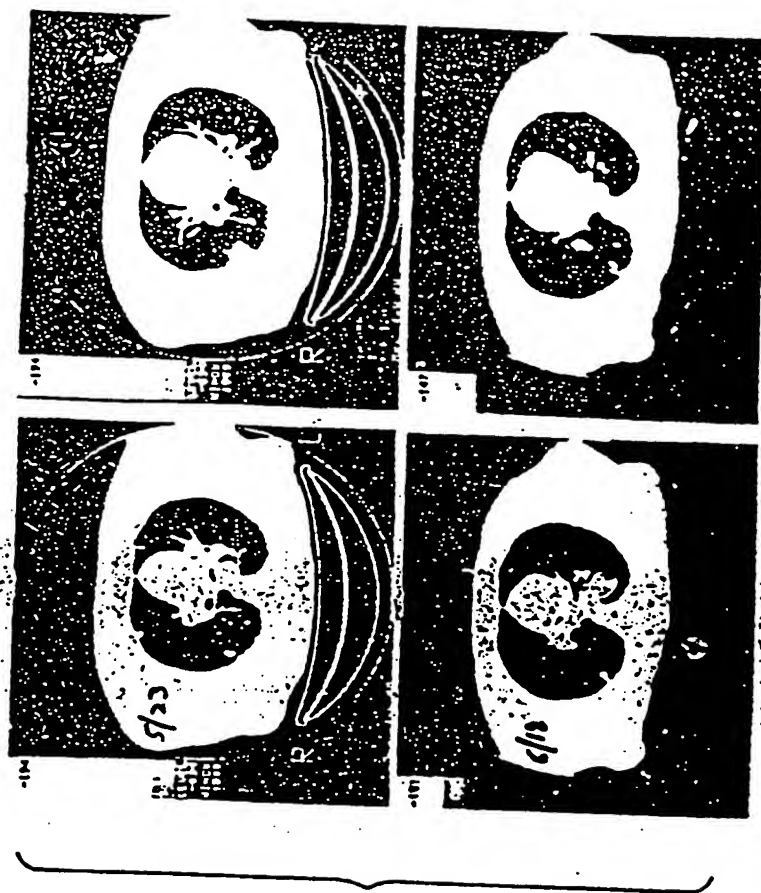
2343



87051876

9 / 11

0211769



2344

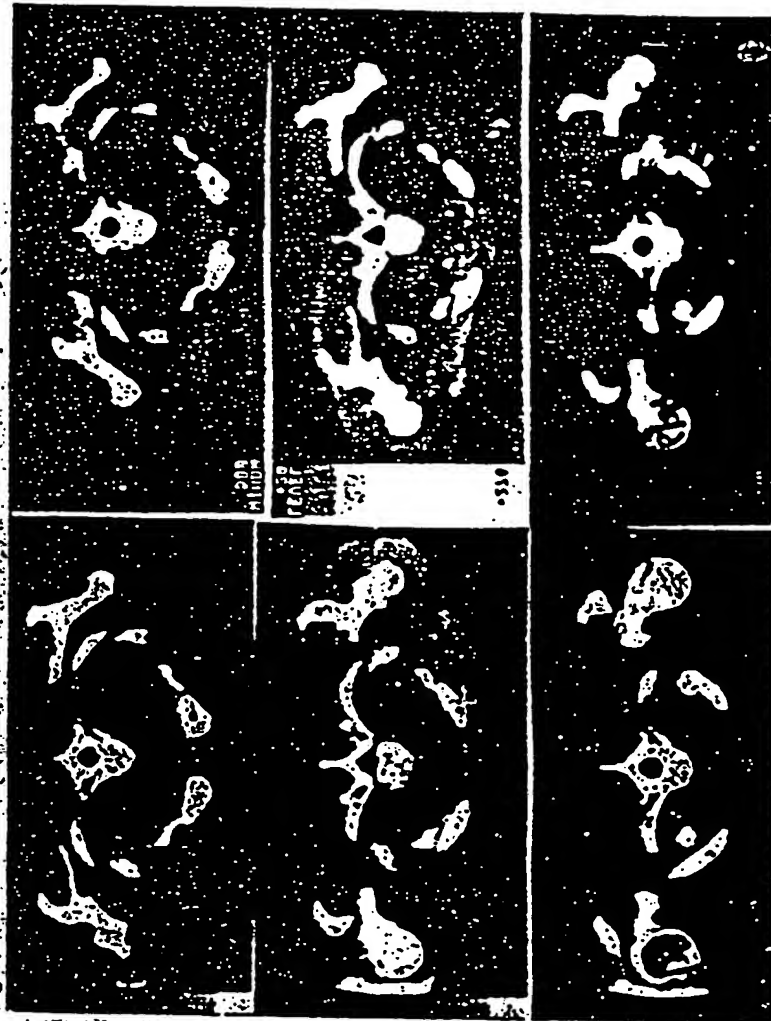
FIG. 10

87051876

11 11 00

0211769

10 / 11



2345

FIG. II

07021070

11/11

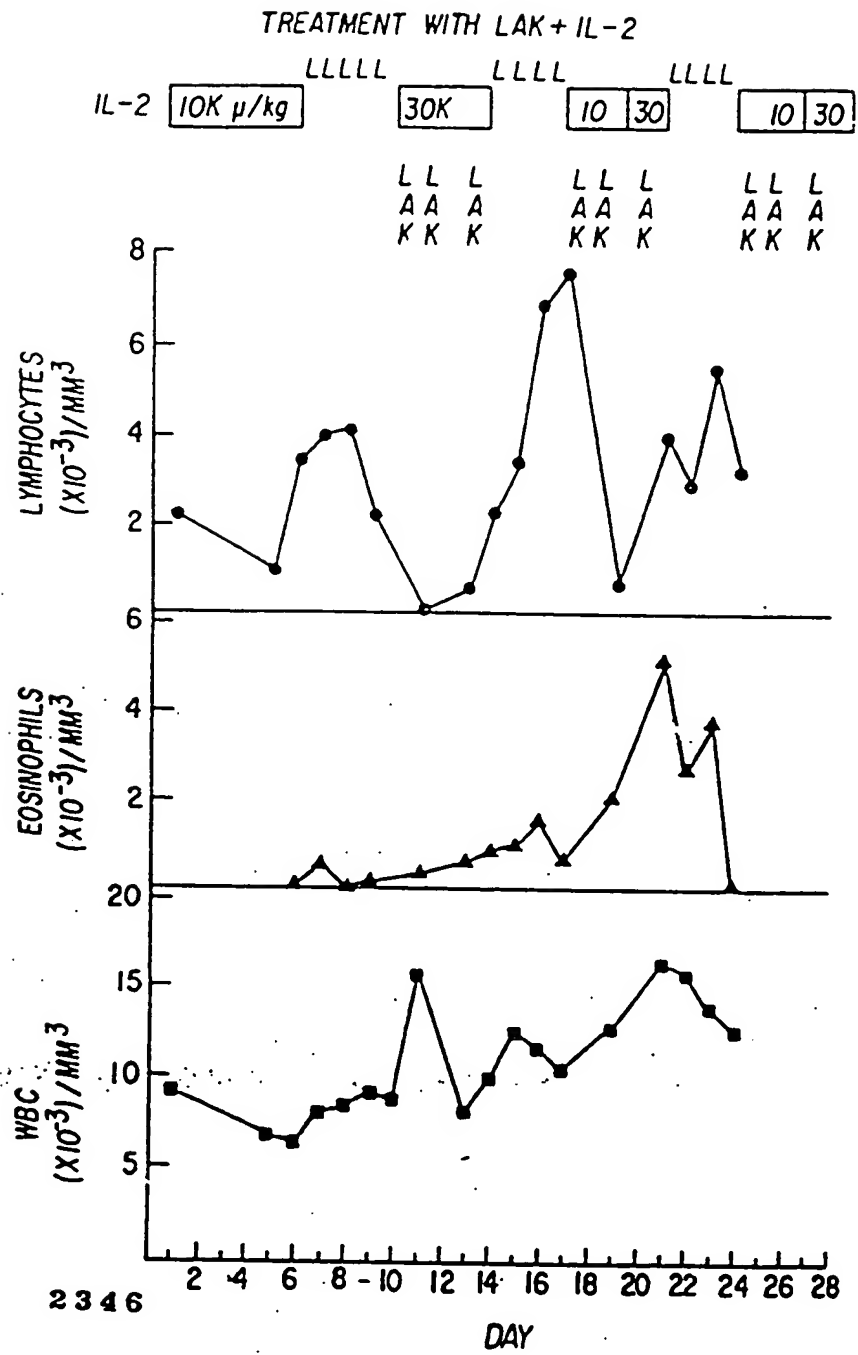


FIG 12